

IFW

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) and 1.97(c))

Docket No.  
114212-011

In Re Application Of: **Dennis Nordman**

JUN 07 2004

Serial No.  
10/800,593

Filing Date  
March 15, 2004

Examiner  
Unknown

Group Art Unit  
Unknown

Title: **GAMING DEVICE WITH ROTATING DISPLAY AND INDICATOR THEREFORE**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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**GAMING DEVICE WITH ROTATING DISPLAY AND INDICATOR THEREFORE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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**Certificate of Mailing by First Class Mail**

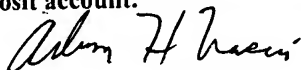
I certify that this document and fee is being deposited on **June 2, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person Mailing Correspondence

Renee Street

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.



\_\_\_\_\_  
Signature

Dated: **June 2, 2004**

Adam H. Masia  
Reg. No. 35,602  
BELL, BOYD & LLOYD LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4284

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
114212-011

In Re Application Of: **Dennis Nordman**

Serial No.  
10/800,593

Filing Date  
March 15, 2004

Examiner  
Unknown

Group Art Unit  
Unknown

Invention: **GAMING DEVICE WITH ROTATING DISPLAY AND INDICATOR THEREFORE**

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



*Signature*

Dated: June 2, 2004

Adam H. Masia  
Reg. No. 35,602  
BELL, BOYD & LLOYD LLC  
P.O. Box 1135  
Chicago, Illinois 60690-4284

**Certificate of Mailing by First Class Mail**

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June 2, 2004 with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

  
*Signature of Person Mailing Correspondence*

**Renee Street**

*Typed or Printed Name of Person Mailing Correspondence*

CC:



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dennis Nordman  
Appl. No.: 10/800,593  
Conf. No.: Unknown  
Filed: March 15, 2004  
Title: GAMING DEVICE WITH ROTATING DISPLAY AND INDICATOR  
THEREFORE  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 114212-011

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are submitted herewith.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,058,893	October 22, 1991	Bertram, et al.
2001/024971 A1	September 27, 2001	Jean Brossard
6,336,863 B1	January 8, 2002	Kaminkow et al.
6,398,220 B1	June 4, 2002	Inoue Haruo

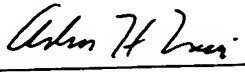
**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
GB 2 201 821 A	September 7, 1988	United Kingdom
EP 1 003 138 A	May 24, 2000	Europe

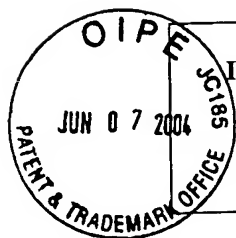
Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY   
Adam H. Masia  
Reg. No. 35,602  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4284

Dated: June 2, 2004



**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 114212	Application No. 10/800,593
Applicant Dennis Nordman	
Filing Date March 15, 2004	Group Unknown

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,058,893	October 22, 1991	Bertram, et al.			
	2001/024971 A1	September 27, 2001	Jean Brossard			
	6,336,863 B1	January 8, 2002	Kaminkow et al.			
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						Yes	No
	GB 2 201 821 A	September 7, 1988	United Kingdom				
	EP 1 003 138 A	May 24, 2000	Europe				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	